Physiology of Circadian Entrainment

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I. A Brief History of Circadian Time

Nested deep within the mammalian brain, a tiny pair of nuclei control the times of our lives. Indeed, we, and most creatures, arrive on Earth fully equipped with a brain watch that helps us to cope with the predictable temporal changes in our home: the day and the year.

Notwithstanding, several natural cycles may have been instrumental in the evolutionary acquisition of a (periodic) time sense: not only those directly related to rotation and translation but also tidal movements, geomagnetic influences, food (including prey) availability, and even social interactions have certainly shaped our behavior and physiology in the temporal domain. However, since it is quite obvious that these temporal cues are relatively accurate, a question remains regarding the adaptive value of internal clocks in a predictable, cyclic universe. In other words, why do we need a timing machine when just by sensing the environment we could react quickly to the different needs imposed by the days or the seasons?

There might be several explanations for this apparent paradox. Maybe sometimes Nature is not as reliable as needed, and does not give the adequate temporal cues to
guide behavior. Indeed, there are situations when most environmental variables are virtually stable, such as what happens in extreme latitudes, including the Arctic and Antarctica. When investigating foraging activity of chinstrap Penguins in the Antarctic South Shetland Islands, one of us reported a clear daily rhythm for the colony even under constant light and temperature conditions (160); there is a certain method in this mad rhythmicity. On the other hand, an hourglass kind of clock that needs to be pushed from time to time by some kind of stimulation does not allow any predictive capabilities, but only passive responses to the environment. One of the main advantages of an inner clockwork mechanism is that of anticipation to predictable changes, thus permitting the organism to be prepared to respond to future challenges in the optimal way. Finally, an endogenous clock might be considered as an orchestral conductor that arranges the rhythm and synchrony of the various components in the body.

Even with classical experiments such as De Mairan’s 1729 demonstration of an endogenous rhythm in leaf movement of Mimosa plants (99), among many others, the notion of an inner clock remained quite elusive until the mid 20th century (Table 1). The term circadian was introduced in the 1950s to identify self-sustained rhythms under constant conditions.

A distinct and simple scheme summarized the main features of the circadian system: under natural conditions, diurnal rhythms in most behaviors and physiological variables responded to environmental variables such as the light-dark cycle. However, since in the absence of such cues rhythms persisted, an additional endogenous component was added to the system: “the biological clock.” This linear pathway proved quite useful to understand the main features of circadian rhythms: entrainment (synchronization; i.e., driving of endogenous oscillations to external cycles) of circadian clocks by the environment and efferent pathways from this clock that drive rhythmicity throughout the body. For chronobiology made simple, in three boxes and two arrows, see Figure 1A.

However, the pathway soon proved to be too simple, since external stimulation could directly affect output variables; indeed, when turning the lights on during the night in an animal room results in a sudden silence, due to the masking of light on behavior. Masking has now been fully described in terms of its neurochemical pathways, and it can also be considered as a driving force for the evolution of circadian rhythms (44, 296, 348). On the other hand, output variables might also feedback upon the oscillator; such is the case of circadian melatonin secretion by the pineal gland, which in turn might act upon specific receptors on the clock (Fig. 1B). Even more: input variables might be under circadian control by the biological clock, therefore closing an ouroboros-like creature (the dragon that devours its own tail and forms a circle, representing an eternal cycle) where the output becomes the input. An interesting example is the circadian change in photoreceptor function (as a clock output), which in turn results in a temporal variation in the input pathway to the clock (Fig. 1C).

Going back to the linear model of chronobiology, three main questions stand out right away. 1) Where is the

### Table 1. A brief history of biological time

<table>
<thead>
<tr>
<th>Year</th>
<th>Event</th>
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<tbody>
<tr>
<td>1729</td>
<td>Publication by Jacques d’Ortous de Mairan on the movements of Mimosa leaves in constant darkness</td>
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<tr>
<td>1751</td>
<td>Carl Linnaeus presented his “flower clock” in his Philosophia botanica, to estimate time of day according the timing of open and closed flowers in the field</td>
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<tr>
<td>1759</td>
<td>Du Monceau demonstrated that the rhythm in leaf movement is independent of temperature fluctuations</td>
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<tr>
<td>1832</td>
<td>Auguste De Candolle reported the presence of a circadian (22 h) period of leaf movement</td>
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<tr>
<td>1880</td>
<td>Charles Darwin published “The power of movement in plants,” including an analysis of “sleep movements of leaves”</td>
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<tr>
<td>1920s and 1930s</td>
<td>Studies by E. Bunning on the heitability of circadian rhythms</td>
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<td>1920s</td>
<td>Description of endogenous circadian rhythms in the rat (C. Richter)</td>
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<tr>
<td>1950s</td>
<td>Formal properties of biological rhythms (C. Pittendrigh)</td>
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<tr>
<td>1959</td>
<td>Franz Halberg coins the name “circadian”</td>
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<tr>
<td>1960</td>
<td>C. Pittendrigh and J. Aschoff organize the first Cold Spring Harbor Symposium on Biological Clocks</td>
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<td>1960s</td>
<td>Analysis of human circadian rhythms in temporal isolation (J. Aschoff)</td>
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<td>1968</td>
<td>Complete description of a biological clock in the avian pineal gland (M. Menaker)</td>
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<tr>
<td>1971</td>
<td>Discovery of the per mutation in Drosophila (R. Konopka, S. Benzer)</td>
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<tr>
<td>1972</td>
<td>Role of the suprachiasmatic nuclei in circadian rhythmicity (I. Zucker, R. Moore)</td>
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<tr>
<td>1976</td>
<td>C. Pittendrigh and S. Daan publish a series of papers on the experimental and formal basis of circadian rhythms in rodents</td>
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<tr>
<td>1984</td>
<td>Cloning of the per gene in Drosophila</td>
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<tr>
<td>1988</td>
<td>Discovery of the tau mutation in the hamster</td>
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<tr>
<td>1990s</td>
<td>Description of a transcription-translation negative-feedback model of the circadian clock in several species; cloning of clock genes</td>
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<tr>
<td>1994</td>
<td>Creation of the clock mouse mutant</td>
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<tr>
<td>1998–2000</td>
<td>Discovery of the cellular and physiological basis of nonvisual photoreception in mammals; description of peripheral circadian clocks</td>
</tr>
<tr>
<td>2000</td>
<td>Cloning of the tau mutation in hamsters</td>
</tr>
<tr>
<td>2000s</td>
<td>Annotation of the circadian transcriptome</td>
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*Historical milestones of chronobiology. For additional references and explanation, see Reference 415.*
clock located and how does it work? 2) How does the clock tell time to the rest of the body? The search for the biological circadian clock in mammals remains as one of the finest adventures in structure-function relationship in the central nervous system. Early approaches suggested a hypothalamic location for such an oscillator (339), although its precise neuroanatomical niche remained quite elusive until the 1970s. Being fair, a forerunner of the path to be taken was Argentinean writer Julio Cortázar who, as early as 1960, wrote that “time enters through the eyes, everybody knows that” (87a). Indeed, although “time” does not enter through the eyes, since light is a well-known stimulus for circadian rhythms, it was tempting to speculate that photic pathways will end in clock-related structures. As we shall see, this was the logic behind the discovery of the retinohypothalamic tract and the suprachiasmatic nuclei (SCN) (335, 336, 339, 341).

In recent years, the notion of “a” circadian clock has been challenged by the discovery of self-sustained oscillations in several tissues throughout the body, which might be particularly relevant for local rhythmic events. Indeed, diverse cell cultures and even cellular lines exhibit circadian rhythmicity, thus challenging the need for a central oscillator structure responsible for driving all periodicities in the organism. Collectively, these structures are referred to as “peripheral oscillators” (although in a very SCN-centered view of the world, peripheral might include from the liver or the lungs to rhythmic nuclei in the central nervous system) (74, 255, 301). Notably, the search for the molecular components of the clock (both central and peripheral) has been the driving force in chronobiology in recent decades (205, 248, 252, 288, 443, 451, 453, 495).

This review deals with the second of the main questions in chronobiology (according to the simple scheme of [Figure 1]).
Fig. 1): that of the arrow of environmental time cues regulating the mammalian circadian clock, i.e., circadian entrainment. The adaptive value of entrainment is rather obvious: since endogenous rhythms are close to, but not exactly, 24 h, when left to their own free-running properties they will dissociate from the natural cycles unless a specific mechanism keeps them on time on a day-to-day basis.

Several stimuli are capable of entraining the oscillator to their external beat. In this review, we focus on photic entrainment, i.e., the effects of light on the circadian clock, although several other stimuli might be relevant for the correct adaptation of our rhythms to a revolving planet. Among these other synchronizer signals, food availability (132, 312), social contacts (347, 352) and, depending on the habitats, tides, temperature, or even moonlight (120, 133, 378) have been reported to be relevant to adjust circadian pacemaking; some shall be mentioned briefly along the way. As for moonlight effects, it is remarkable that primates can respond to the moon phase in their locomotor activity rhythms (133) and that *Drosophila* pacemaker neurons respond to very dim light illumination patterns, comparable to those of quarter moonlight intensity (30).

In addition, as already stated, in recent years it has become clear that the simple clock-to-rhythms model is inadequate since growing evidence suggests that autonomous biological clocks are distributed throughout the body and might be unevenly entrained by diverse signals (i.e., metabolic entrainment of liver oscillators, reviewed in Ref. 173). However, for the sake of clarity and focus, we will mainly review light-induced entrainment of the SCN, which in turn serves as a body pacemaker and probably couples most, if not all, peripheral oscillators (373).

II. CIRCADIAN ENTRAINMENT: AN ADAPTIVE FEATURE

It is clear that circadian entrainment represents an adaptation of organisms to their environment. Indeed, maybe with the exception of caverns, the sea abyss, or certain hot water springs, rhythmic characteristics of all environments in Earth is evident. In this sense, temporal adaptation is fundamental for the survival of species that need to entrain their physiology and behavior and adjust them to the adequate external signals. Coordination of anatomical pathways and physiological mechanisms in a sequential order defines a certain internal economy in which time is one of the main variables involved.

Many of the fundamental concepts related to the temporal relationship between organisms and environment were originally put forward by the pioneering work and foundational hypotheses from Jürgen Aschoff and, especially, Colin Pittendrigh. The latter performed experiments with a variety of animal models and defined the basis of circadian and even seasonal entrainment. In addition, Pittendrigh gave a clear evolutionary framework for his ideas and hypotheses (it should be remembered that his background was, after all, on classical genetics), and many of his predictions proved to be quite right (88, 390).

The existence of a biological clock makes a rhythm viable even in the absence of environmental cycles, in a “free-running” situation, thus ensuring that internal functions continue their temporal relationship under constant conditions (for example, the rest-activity cycle in humans is related to body temperature even under isolation conditions; Refs. 24, 28). However, real life usually gives temporal cues that help rhythms to adapt and anticipate to natural periodic changes, including light/dark, temperature, humidity, tides, social activity, and several other cycles. The most studied cycle among these is certainly the alternation between day and night, to which most species are sensitive, and seems to have been the most important pressure factor for the selection of circadian rhythms. Geophysical cycles different from 24 h, e.g., tidal cycles (12:4 h), lunar day (24:8 h), and lunar month (29:53 days, between 2 full moons), seem to have exerted selective pressure in intertidal species. In all cases, the period of the endogenous rhythm, which persists under constant conditions, corresponds approximately to a certain environmental rhythmicity, which can be related both to its evolutionary origin and its functional importance. Therefore, the period of biological rhythms results in an interplay between an endogenous oscillator and the environmental cyclic variable, for which Aschoff proposed the term Zeitgeber (“time giver” in German, Ref. 25).

In the presence of this zeitgeber, the biological clock adjusts its period and phase to the environmental cycle (Fig. 2). This would be equivalent to the daily adjustment of a not very precise wristwatch, which needs to be advanced if its period is smaller than 24 h, and delayed with periods of more than 24 h.

III. SIZE (OF LIGHT) AND TIME MATTERS: EFFECT OF SHORT OR LONG LIGHT PULSES ON CIRCADIAN PHASE

As already stated, entrainment is a necessary adjustment of circadian phase to the environment. Even if endogenous period (τ) is very close to 24 h, say 24.1 h, if left by itself, it will gradually diverge from the outside world until phase differences are inadequate for survival. In our example, the endogenous phase is shifted by 0.1 h (6 min) every day, so in 10 days the circadian system of the animal will be 1 h advanced with respect to a natural cycle. After ~3 mo, diurnal animals would have become nocturnal, and vice versa. Light can exert different effects on the circadian clock. Short light pulses have been extensively tested in the lab, inducing phasic or “nonparametric”
An interesting paradox, again for nocturnal animals, is how could light affect behavior and induce an exactly 24-h period if the organism restricts itself to the dark period of the day. An early hypothesis was put forward and demonstrated by Patricia DeCoursey (102), who stated that nocturnal animals might be able to sample light with brief incursions out of their burrows. In fact, by means of a clever experimental scheme, she demonstrated that animals under a light-dark cycle actually behave as in constant conditions, interrupted by periodic phase shifts that relocated locomotor activity to its natural dark framework (see Fig. 5).

Under natural conditions, the PRC regions in which the largest phase shifts can occur, start and end of the subjective night, are coincident with twilight hours. Indeed, with only one or two light pulses under laboratory conditions, i.e., a “skeleton” photoperiod, stable entrainment can be easily achieved (242, 393). The temporal difference between the two pulses will delimit the boundaries of the subjective day and night of the animals.

The PRC, its shape and amplitude, is an intrinsic property of the circadian oscillator and is characteristic for each species, including humans (243, 321), that also respond to relatively low intensities of light (503). Indeed, it is also the basis for the design of treatments in abnormal entrainment situations, such as jetlag, shift work, or circadian-related sleep disruption (130, 167, 338, 460).

Parametric entrainment is related to the circadian photosensitivity to long-duration light stimuli, from a regular photoperiod (with usually more than 8 h of light per day) to constant illumination (LL). The manipulation of photic parameters (intensity, spectral composition) can modify circadian period through its continuous action on the photoreceptor pathway leading to the clock. However, the physiological, neurochemical, and molecular basis of this type of entrainment are not well understood (see Refs. 77, 82).

In addition, constant light is able to disrupt circadian rhythmicity, depending on the intensity of light, and exhibiting a continuum of responses that ranges from reduced locomotion and an increase in \( \tau \) to complete arrhythmicity (392). However, although constant light is able to desynchronize SCN cells, it does not stop the intracellular clock; circadian changes in the expression of clock genes persist (372). Therefore, light might be able to elicit two different kinds of effects on the clock: 1) brief light pulses are transduced into changes in the expression of clock genes that phase advance or delay the clock, and 2) chronic light affects the intercellular coupling between SCN neurons by yet unidentified mechanisms.

In addition, a recent study by Chen et al. (82) examined what happens when, after chronic LL treatment, animals are put back in constant darkness. They found that upon transfer to constant darkness (DD), circadian rhythms in behavior and gene expression are very quickly regained and start from a specific phase, suggesting again...
that the clock output was masked by the constant light treatment. Moreover, after several days in LL, these authors were able to induce 12-h phase shifts in locomotor activity, suggesting that light manipulation might be a fundamental tool when coping with circadian disorders such as shift work or jetlag.

A. Phase Response Curves

As stated before, one of the most powerful tools for dissecting entrainment and, in particular, temporal gating for zeitgeber effects, is the construction of PRCs, i.e., the effect of the same stimuli at different times of the day. PRCs are the graphic representation of the dynamics of a certain variable (e.g., locomotor activity) under the control of the circadian oscillator, when subjected to an acute stimulation at different circadian times (231). Although quite variable in terms of amplitude and timing, PRCs usually fall into two categories. Curves that show phase shifts during the subjective night (usually phase delays, $-\Delta \varphi$, in the first half and phase advances, $+\Delta \varphi$, in the second half), such as those for light stimulation and other signals, are called “photic PRCs” (91, 420). Curves with phase advances during the subjective day are called “nonphotic PRCs,” such as those in response to forced locomotion, social stimuli, and others (328, 352, 411) (Fig. 3).

PRCs are further classified depending on their strength in terms of phase shifting (156, 512). Type 0...
(weak) PRCs show maximal phase shifts on the order of a few hours and gradual transition between phase advances and delays, while type 1 PRCs have larger maximal phase shifts, of up to 12 h, and the transition between delays and advances is quite abrupt and discontinuous (Fig. 4).

PRCs are species specific, dependent on the type and strength of the stimulus, and quite relevant for the elucidation of the underlying entraining mechanism.

IV. THERE IS LIFE AFTER LIGHT

As mentioned before, circadian rhythms can be entrained by a variety of stimuli, of which light appears to be the main but not only means of adjusting \( \tau \) to \( T \). As we shall see, photic entrainment is transduced by a specific pathway and transcriptional mechanism that can be mimicked by pharmacological manipulations. In addition, as already mentioned, there is another group of stimuli that are able to entrain the clock with a different PRC than that for light (synchronization called nonphotic entrainment). Although not the focus of the review, we will mention that early recordings of blind human subjects suggested a role for social stimuli in circadian entrainment (Lund 1974, cited in Ref. 511). However, the real basis for nonphotic entrainment came from a series of elegant experiments performed by Nicholas Mrosovsky and co-workers, triggered in response to the claim that the short-acting benzodiazepine triazolam acted directly on the suprachiasmatic clock (479). However, Mrosovsky and co-workers (353, 354) clearly demonstrated that this effect was mediated by triazolam-induced increase in locomotor activity, without which (e.g., by blocking running wheels) entrainment did not occur. In addition, this kind of entrainment seemed similar to the one elicited by social stimuli, and the results were incorporated into a family of stimuli which was thereafter known as “nonphotic” entrainment, which included novel running wheels and some types of handling or presentation of individuals of the opposite sex, among others (328, 352, 411). Indeed, these stimuli were able to determine nonphotic PRCs, which share the distinctive feature of phase-advances during the subjective day (Fig. 3). Although this PRC is not as precise as the one for light pulses, it might also have adaptive relevance for fine-tuning the ecological temporal niche for locomotor activity and other behaviors (407).

There is also evidence that physical exercise is able to change the phase of locomotor activity in humans (56, 57, 327). As stated, pharmacological agents can induce phase advances during the subjective day, depending on the degree of arousal they exert on the individual (43, 44, 159, 293, 295, 326, 481). Indeed, behavior is able to change neurophysiological properties of SCN neurons (101, 426). There is extensive evidence suggesting that nonphotic stimuli signal into the SCN clock through two main pathways: 1) a geniculohypothalamic tract (GHT) which originates in the thalamic intergeniculate leaflet (IGL) and uses neuropeptide Y (NPY), GABA, and endorphins as neurotransmitters (194); and 2) a serotonergic median raphe nuclei projection to the SCN (315, 323). The GHT tract acts, among others, through a Y2 receptor/PKC activation pathway (43, 159, 212), while serotonin effects are mediated through 5-HT1A receptors and protein kinase A (PKA) activation (126, 323, 400, 401). These stimuli
act on the expression of clock genes such as *per1* or *per2*, decreasing their diurnal peaks (143, 208, 299, 527).

Maybe more in the focus of this review is the fact that nonphotic stimuli can interact with photic entrainment, usually in opposite directions. Thus nonphotic stimuli are able to inhibit light-induced phase shifts, and vice versa (42, 302, 525). Moreover, inhibition of nonphotic pathways increases the circadian response to light (524), although this is not necessarily the case when long light pulses are applied (21), suggesting a potential adjuvant treatment for entrainment disruptions.

A. “Unmasking” the Effects of Light

Entrainment is not the only means of communication between the circadian oscillator and the environment, and it might not even be the principal one. Environmental signals are able to adjust the output of the clock acting directly upon overt rhythms. This mechanism was first described by Aschoff (25) as “certain experimental conditions that obscure the effect of the zeitgeber” and was thereafter named as “masking.” Indeed, masking might help individuals respond to external stimuli quickly and directly, thus enabling a fast and adaptive response to potentially threatening stimuli.

Although masking was traditionally considered an impediment to study the expression of a temporal program (137, 269, 320), its mechanism is certainly as important as entrainment to determine the correct phase relationship between the body and its environment, in particular when the visual system is affected (346). It is also interesting that masking is subject to temporal gating, i.e., the same masking stimulus will act or not upon a cyclic output depending on the time of stimulation (27).

A PRC for masking has also been derived from the effect of such stimuli (in this case, acute turning on of lights under constant dark conditions) on locomotor activity at different times of the day (410). In this case, masking might fine-tune entrainment; although the anatomical substrate of this mechanism is not currently known, it is interesting to hypothesize the thalamic IGL (well-known for its role in nonphotic entrainment) as an actor in this pathway (194).

The phototransduction pathway involved in masking is not completely understood and might be different from conventional vision or entrainment pathways. Indeed, locomotor activity of *rd/rd* mice, with a drastic reduction in the number of retinal photoreceptors and in visual function, can be negatively masked by nocturnal 1-h light pulses (346), suggesting that these animals not only maintain certain entrainment capabilities but also the ability to respond to ecologically relevant stimulation, independently of image formation (348). Moreover, in animals with a slow degenerating mutation in rods (*rds/rds*), negative masking to light pulses increased with age, suggesting that masking is dependent on the degree of neurodegeneration (355, 405).

Indeed, multiple photoreceptor pathways are involved in circadian entrainment and, most probably, masking (114, 178). In particular, as we will discuss later, melanopsin-containing retinal ganglion cells, which do indeed play a role in photic synchronization, are likely to be related to masking as well. Recent evidence suggests that targeted destruction of a certain type of ganglion cells (photoreceptive ganglion cells, pRGCs) not only attenuated circadian responses to light but made animals unresponsive to negative masking to light pulses (170).

In addition, other neurotransmitter-receptor systems might be involved in nonimage visual photoreception, including entrainment and masking. The pituitary adenylate cyclase-activating polypeptide (PACAP) is a retinohypothalamic neurotransmitter acting upon PAC1 receptors in the SCN. Mice lacking this receptor exhibit a significant decrease in light-induced entrainment and, most notably, negative masking at different light intensities (72, 190, 241). Dexras 1-deficient mice (which lack a dexamethasone-binding protein that activates the mitogen-activated protein kinase pathway) have been reported to reduce their photic entrainment and increase nonphotic responses (83, 84); however, no differences were found regarding their masking responses to light (93), indicating that at least some non-image-forming photoreception pathways are independent of Dexras 1.

Perhaps more interesting is the involvement of dopamine and D2 receptor pathway in masking responses. Doi et al. (113) have shown that D2 receptor knockout mice, although exhibiting normal circadian rhythms in locomotor activity, lack masking responses to light, although maintain normal entrainment to light pulses (including locomotion and pineal activity). Although dopamine is important in neural adaptation to light, this role of D2 receptors remains to be established, in particular in terms of the neuroanatomical and molecular pathway involved, possibly acting directly through clock gene transcriptional steps (529).

Classical and elegant experiments have suggested that humoral signals from the SCN are probably responsible for part of the output pathways that control overt rhythmicity (275, 444, 445). Moreover, tetrodotoxin (TTX)-sensitive action potentials are needed for the expression of rhythmicity, but not for the activity of the circadian oscillator, since TTX masks locomotor activity rhythms, which after treatment resume with the same phase as that before pharmacological stimulation, both in vivo (432) and in single SCN cells (508). More recently, some of the putative humoral signals from the SCN have been identified, including prokineticin 2 (86, 537), epidermal growth factor (EGF), and transforming growth factor-1 (TGF-1), although further analysis of screenings for secreted factors might yield new potential humoral output candidates for the nuclei (256, 257). These signals...
mediate masking effects, as suggested by experiments with EGFr mutant mice, with reduced EGF receptor activity. However, a closer examination under different illumination levels of the masking stimuli suggest that these mice do exhibit masking responses, albeit with a different sensitivity (351). Table 2 summarizes the proposed role of several genes in circadian masking, mostly based on the effects of specific mutations on negative masking of locomotor activity by light.

Together with photic and nonphotic entrainment, masking might be instrumental in the fine tuning of the temporal niche for different species and individuals (407). Light-dark and dark-light transitions are key signals for entrainment, and part of their effect is certainly elicited by acute masking due to the abrupt changes in light intensity (at least, under laboratory conditions, which definitely differ from the more subtle and gradual natural changes in the light-dark cycle). Some circadian mutants even experience a temporal switch from diurnality to nocturnality, or vice versa (349), which are influenced by retinal pathways involving melanopsin and chromophore recycling (115).

V. ENTRAINMENT IN THE FIELD

Laboratory conditions, although optimal for the modulation of physiological processes underlying entrainment, are not always accurate in terms of real-life synchronization in the field. Differences in photoperiodic exposure probably imply variations in the neurochemistry of the mechanisms for entrainment. Indeed, the behavioral response to simulated twilights differs from that for square-type photoperiods, increasing the range and quality of entrainment (45, 46, 482). Natural synchronization is probably a combination of parametric and nonparametric effects of light. As mentioned before, an interesting, now classical, approach to the problem, at least for nocturnal animals, was an experimental paradigm devised by DeCoursey (102) which recorded the activity of a nocturnal flying squirrel allowed to construct a burrow and to explore different parts of a cage exposed to a complete photoperiod. Animals are usually in free-running conditions and wander out of the burrow at the adequate circadian times. After a few days, the animals were briefly exposed to light and reentrained to their nocturnal niche; “light sampling” is therefore responsible for masking of locomotor activity and, if stimuli fall onto the sensitive zone of the PRC, phase shifting will occur (Fig. 5). Diurnal animals probably perceive luminosity outside their burrows and only wander outside when light levels (and/or spectral composition, including ultraviolet frequencies) are above a certain threshold (213, 214).

However, if masking and light sampling are at least partially responsible for adequate temporal behavior for most animals, the circadian system could be considered a secondary feature, an evolutionary redundancy, a span-drel in the cathedral of physiology (169). Notwithstanding, circadian programs do offer adaptive advantages, from cyanobacteria (233, 377) to flies and vertebrates (390). An interesting proof comes from work by, again, Pat DeCoursey, who lesioned the SCN of squirrels and chipmunks in the laboratory and studied their behavior in the field to test their real-life value (103–105). Lesioned animals were subject to more significant predation and exhibited, over the seasons, a higher mortality rate.

VI. DIFFERENT SUPERCHIASMATIC NUCLEI OSCILLATORS RESPONDING TO LIGHT

Classical experiments by Colin Pittendrigh and coworkers led to the hypothesis of two distinct oscillators with different phases and light responses, the so-called E(vening) and M(orning) oscillators (391, 393). A strong hint that these oscillators could be contained within the SCN came from studies that indicated that the length of the peak of SCN electrophysiological activity in coronal brain slices depended on previous photoperiodic history of the animal (356). An experimental preparation recently shed light into the nature of such oscillators, by recording electrophysiological neuronal patterns in horizontal SCN slices (223). With this kind of preparation, two peaks in

<table>
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<tr>
<th>Genotype</th>
<th>Effect</th>
<th>Reference Nos.</th>
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<tr>
<td>PAC1−/− mice</td>
<td>Impaired negative masking behavior at low light intensities</td>
<td>190</td>
</tr>
<tr>
<td>rd/rd mice</td>
<td>Negative masking to light pulses</td>
<td>346</td>
</tr>
<tr>
<td>rd/rds mouse</td>
<td>Negative masking to light pulses, increasing with age</td>
<td>355, 465</td>
</tr>
<tr>
<td>D2R-null mice</td>
<td>Lack of masking responses to light</td>
<td>113</td>
</tr>
<tr>
<td>Melanopsin−/− mice</td>
<td>Impaired masking under bright light conditions</td>
<td>291, 350</td>
</tr>
<tr>
<td>Vitamin A-depleted rbp−/− cry1−/− cry2−/− mice</td>
<td>Masking abnormalities (some diurnal behavior)</td>
<td>464</td>
</tr>
<tr>
<td>mPer1/mPer2 double mutant mice</td>
<td>Entrainment in LD caused by masking effect of light</td>
<td>533</td>
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</table>

The precise neural and molecular pathway involved in circadian masking is not completely understood. However, mutations of specific SCN receptors and/or photoreceptor genes yield a circadian phenotype in which masking is compromised (see text for details). Cry, cryptochrome; D2, dopamine receptor type 2; PCA1, PACAP receptor type 1 (PAC1); rd, retinal degeneration; rds, retinal degeneration slow; rbp, retinol-binding protein.
electrical activity were found (in contrast to the unimodal rhythm recorded from coronal slices) that were interpreted as corresponding to the E and M oscillators (Fig. 6). These two peaks are independently controlled by photoperiodic history of the organism and independently phase shifted by stimuli mimicking phase advances or delays of the light-dark (LD) cycle, as is predicted by the model and other experimental data (218, 416, 417, 507). However, this special feature uncovered by horizontal slice preparations seems to be species specific and was only found in hamsters, not in rats or mice (52). Moreover, in the mouse, two different oscillatory neuronal populations have been found to couple to E and M circadian patterns (218), and these oscillation patterns can be plastically modified by network reorganization in response to changing photoperiods (49, 359). There have been suggestions pointing to a differential role of clock genes in the E and M oscillators (90), although this hypothesis has yet to be tested rigorously. Moreover, SCN neuronal activity patterns and their intercellular coupling mechanisms can encode day length information and therefore serve as the basis for seasonal encoding and circannual rhythmicity (307). Indeed, SCN neurons exhibit profound changes in their coupling characteristics when animals are subjected to different photoperiods. Schaap et al. (425) reported that the average circadian waveform of the SCN at the tissue level is directly related to its neuronal network properties and, moreover, the heterogeneity in firing patterns serves as the basis for photoperiodic encoding. Moreover, the phase distribution of these neurons, as determined by both multiple unit activity or at the single-cell level, and its intrinsic plasticity are fundamental for responses to light or short photoperiods (488), including photoperiod-dependent photic phase shifts (489). In other words, photoperiodic encoding, which includes a differential response to light pulses, is an SCN property, supporting the classical notion of a “clock for all seasons” encoded in the pacemaker structure and function (391).

Another model in which dual circadian oscillators are evident within the SCN is forced desynchronization in the rat, in which animals are exposed to extreme photoperiods, e.g., 22-h LD cycles, and express two stable circadian locomotor activity rhythms with different period lengths in individual animals (62) (Fig. 7). Again, clock gene expression was useful in tracing the cellular basis of this phenomenon (98) and reflected a subdivision between ventrolateral and dorsomedial SCN areas that were active during the different components of the desynchronized behavior (97). Moreover, core body temperature and sleep stages are also associated with the activity of these distinct oscillators revealed by the forced desyn-

**Fig. 6.** Recording of electrophysiological rhythms in superchiasmatic nucleus (SCN) slices. Multunit electrophysiological recordings show a clear circadian rhythm in firing rate, peaking during the subjective day. Left panels indicate the orientation of the slices. Top panel depicts a traditional configuration of coronal slices, with a single peak at around CT 10–12, while recordings in a horizontal slice exhibited two discrete peaks of multiunit activity, one early in the subjective day and the other one occurring in the subjective night, within a few hours after projected dusk. [From Jagota et al. (223), reprinted by permission from Macmillan Publishers Ltd.]
chrony protocol (61), as well as humoral rhythms such as melatonin secretion (430).

Again, neuroplasticity within the circadian master clock allows for the reorganization of anatomically identifiable neuronal networks (traced by the expression of marker clock genes) that are forced to operate independently as a result of the desynchronization protocol. This desynchronization of neural networks allows for the identification of boundaries between suboscillators that are driving independent circadian physiological outputs. Although circadian desynchronization between endogenous rhythms, or between these and the environment, has been classically studied in humans, the relevance and relationship of these animal models of desynchronization to humans remain to be established.

VII. THE DOORS OF PERCEPTION: THE RETINA AS A CIRCADIAN PHOTIC TRANSDUCER

The diurnal changes in environmental illumination are conveyed from the retina to the brain to entrain circadian rhythms throughout the body. In sighted people, light triggers several changes in retinal neurochemistry that are transmitted by means of the retinohypothalamic tract (RHT) to the SCN. Light is the major time cue (Zeitgeber) responsible for synchronizing the circadian timing system. Although early work suggested that nonphotic time cues such as meals, caffeine, exercise, and sleep-wake cycle were important synchronizers of the human circadian clock (26), at present, light is considered the primary time cue (75). In addition to studies in sighted subjects, strong evidence to support this view has come from detailed studies of totally blind people. The demonstration that, in spite of living with strong social cues (e.g., employment, families, alarm clocks, and guide dogs) totally blind people exhibit free-running circadian rhythms (23, 284, 423, 424) strongly supports the primary role of light in human entrainment. The contribution of nonphotic time cues to circadian entrainment in humans, however, cannot be ruled out entirely (327).

A. Retinal Circadian Rhythms

The retina is a remarkably rhythmic tissue. Many cellular, biochemical, and physiological processes change in a circadian fashion, including visual sensitivity, rod outer segment disc shedding and phagocytosis by the
retinal pigment epithelium (RPE), expression of immediate early genes and visual pigment genes in photoreceptors, second messenger levels, and activities of enzymes in signal transduction pathways, expression of arylalkylamine N-acetyltransferase (AANAT), as well as the biosynthesis of melatonin and dopamine, among many others (for a review, see Ref. 475). Retinal circadian rhythms allow the organism to anticipate and adapt to the >1,000,000-fold change in light intensity during a 24-h period, thereby optimizing visual function for each photic situation. Studies in the early 1980s demonstrated that a circadian clock was present in the eye of the frog *Xenopus laevis* (40). A few years later, circadian clocks in *Xenopus* and chick retinas were localized to the photoreceptor cells (59, 388, 462). These clocks drive rhythms of melatonin production and expression of red cone opsin mRNA. Additional studies in mammals demonstrated that outer segment disc shedding of the rod photoreceptors and AANAT mRNA persist (475, 476) in animals with SCN lesions or transected optic nerves, indicating that this rhythm was driven by an extra-SCN circadian clock (459). At present, circadian clocks are known to be localized in the retinas of many vertebrates (see Table 3). More recent studies indicate that melatonin could be also synthesized in chick retinal ganglion cells, with higher levels during both the subjective day in constant darkness and the light phase under a light-dark cycle (150). Moreover, it was shown that cultures of embryonic retinal ganglion cells also showed self-sustained daily rhythms in AANAT mRNA expression during at least three cycles with a period near 24 h, supporting that chick retinal ganglion cells may function as autonomous circadian oscillators synthesizing melatonin during the day (150).

Retinal clocks may influence the SCN, as enucleation disrupts rhythms of p44/p42 mitogen-activated protein kinase (MAPK) phosphorylation (271) and photoreceptor degeneration delays the nighttime increase of phosphorylation of the cAMP-response element binding protein (CREB) in the master clock (18); these effects may not simply reflect removal of light/dark cues, but may also reflect clock-driven functions in the retina. In addition, enucleation lengthens the free-running period of behavioral rhythms compared with those observed in intact animals kept in constant darkness (518). Thus the circadian clock in the vertebrate eye may contribute to system-level circadian organization through effects on the SCN. Table 3 summarizes some of the most researched circadian rhythms at the retinal level.

### Table 3. Some examples of retinal circadian rhythms

<table>
<thead>
<tr>
<th>Retinal functions</th>
<th>Visual sensitivity and ERG responses (34)</th>
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<tr>
<td>Rod-cone dominance (232)</td>
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<tr>
<td><strong>Signal transduction mechanisms</strong></td>
<td>cAMP levels in photoreceptors and ganglion cells (150)</td>
</tr>
<tr>
<td>Ras, B-Raf, ERK, and pCREB signaling pathways in photoreceptors; affinity of cyclic nucleotide-gated channels for cGMP (253)</td>
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<td><strong>Transcription mechanism</strong></td>
<td>Transducin mRNA (48)</td>
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<td>Iodopsin mRNA (387)</td>
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<td>Melanopsin mRNA (79)</td>
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<td>Nocturnin mRNA (172)</td>
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<tr>
<td>AANAT mRNA in photoreceptors (38) and in retinal ganglion cells (150)</td>
<td></td>
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<tr>
<td><strong>Structural process</strong></td>
<td>Spinule formation at cone-horizontal cell synapses (408)</td>
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<tr>
<td>Cone photoreceptor retinomotor movements (509)</td>
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<tr>
<td>Rod outer segment disc shedding (459)</td>
<td></td>
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<tr>
<td><strong>Metabolic and neurochemical functions</strong></td>
<td>Extracellular pH and energy metabolism (110)</td>
</tr>
<tr>
<td>Phospholipid metabolism in photoreceptor and ganglion cells (177)</td>
<td></td>
</tr>
<tr>
<td>Melatonin biosynthesis in photoreceptors (476) and chicken retinal ganglion cells (150)</td>
<td></td>
</tr>
<tr>
<td>Dopaminergic and GABAergic activity (225, 226)</td>
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Examples of diurnal and circadian rhythms in retinal functions are shown, from structural processes to physiological mechanisms. Reference numbers are given in parentheses. For a review of rhythms in the mammalian retina and additional references, see Reference 475.

B. Novel Photoreceptors and the Circadian Biology

Although the first definition for “eye” in the Oxford English Dictionary is simply “the organ of sight” (in humans and animals), over the past decade, a second role for the eye has been described: even in the absence of form vision, the eye can serve as a sensor for ambient lighting, akin to the light meter in a camera. Several light-regulated functions, including entrainment of circadian clocks, suppression of activity by light, photic suppression of pineal melatonin synthesis, and pupil light response (PLR) are retained in animals that are blind as a result of mutations causing complete or near-complete degeneration of the classical photoreceptors, rods, and cones. Only recently have the photoreceptors involved in mammalian photoentrainment been identified. Although it is well known that the eyes are necessary for the light-mediated regulation of the circadian axis because bilateral removal of the eyes abolishes photoentrainment (365), the rods and cones are not necessary for circadian photoregulation. Indeed, genetic ablation of these photoreceptors has no effect on the photic circadian phase-
shifting response (139, 142, 290). These light-responsive functions are controlled by another retinal photoreceptor because animals lacking retinal ganglion cells lose circadian photoresponses and PLR. In recent years, the discovery of pRGCs has given nonvisual phototransduction an anatomical basis. Several lines of evidence support that a subset of retinal ganglion cells is directly photoreactive and transduces information about ambient lighting conditions to brain centers involved in irradiance detection (such as the SCN) and are involved in tasks including entrainment of the circadian clock and PLR (39, 201, 405). Detailed analysis showed that there exists a heterogeneous coupled network of pRGCs in the ganglion cell layer (GCL) of the retina that detects environmental brightness. pRGCs appear to also contribute to photic regulation of pineal melatonin release. Light at night suppresses otherwise high nocturnal plasma melatonin levels through a pathway originating in the RHT (334). Such photic melatonin suppression persists in rodless and coneless mice and in some blind people (193, 506). Melanopsin and cryptochromes have been proposed as candidate photopigments for inner retinal phototransduction (138, 179, 187). Recent analysis of mice lacking melanopsin or cryptochromes indicates that both outer and inner photoreceptors can contribute to nonvisual photoreponses and that both melanopsin and cryptochromes play important roles in this process. Cryptochromes are flavin and pterin binding proteins closely related to DNA photolyases which function as blue light-sensitive photopigments in Drosophila. However, it is still controversial whether the mammalian homologs retain a photoreceptive capacity (171). The presence of cryptochromes in the rodent inner retina could suggest that cryptochromes may be involved in the circadian axis photoentraining (330, 466). A clear biochemical response to light, however, has not been convincingly demonstrated for mammalian cryptochromes (171), calling into question whether they are indeed photoreceptive. Physiological analysis of circadian entrainment and pupil light responsiveness in mice lacking these proteins leads to three conclusions (485): 1) outer and inner retinal photoreceptors provide partially redundant information to the inner retina, 2) melanopsin is required for inner retinal phototransduction in the absence of rod and cone signaling, and 3) cryptochromes contribute to the amplitude of inner retinal phototransduction but are not strictly required. However, it was demonstrated that the loss of cryptochromes in retinal-degenerate mice substantially decreases photic signaling to the SCN, and markedly decreases PLR (463). These findings suggest a model where either classical photopigments or inner retinal photopigments are sufficient for nonvisual irradiance detection (506).

Action spectrum studies for light-induced circadian phase shifting were performed in the golden hamster (Mesocricetus auratus), indicating a spectral sensitivity peaking around 500 nm (blue-green wavelength) (452), which coincided with the spectral maximum for rods, implicating these photoreceptors in photoentrainment. However, a later study in retinally degenerated mice indicated a sensitivity peaking in 480 nm (528), which did not correspond to any of the known visual photoreceptors. Action spectra studies for other end points such as the PLR (280) and the acute photosuppression of serum melatonin levels (47, 461) suggested mediation at least in part by nonvisual photoreceptors. The large number of central projections arising from pRGCs could indicate numerous functions. To study their function, several groups created melanopsin-null mice, with the interesting paradox that after years of searching for an elusive circadian photopigment, the race was on to get rid of the cells that produce it (179, 200–202, 350, 379, 380, 419). In addition, ectopic expression of melanopsin restores visual function in retinally degenerate mice (280). These studies show that melanopsin-containing pRGCs play a role in circadian photoentrainment, adjustment of circadian phase in response to light pulses, regulation of circadian period in response to constant light, PLR, acute photoinhibition of nocturnal activity, and the photic regulation of pineal melatonin biosynthesis. Many of the deficits in these responses were subtle or not apparent in melanopsin-null mice (291, 350, 380, 419). Only after these mice were crossed with mice lacking functional rods and cones were extreme phenotypes observed (202, 379). Transgenic mice lacking functional photoreceptors (32, 142) or mice homozygous for a naturally occurring retinal degeneration allele (rd) (139) are able to regulate circadian locomotor activity by light in a similar manner to sighted controls. In melanopsin-null mice, this capability to shift the phase of circadian rhythms in response to light pulses is attenuated (380, 419), but a residual capacity for light-induced phase shifting remains, whereas mice lacking functional rods and cones and null for melanopsin are completely incapable of light-induced phase shifts (202, 379). Similarly, although rd/rd mice show a 1.5 log unit loss in the sensitivity of the consensual PLR, the maximal response can be achieved at very high irradiances \((\geq 10^{14}\text{photons}\cdot\text{s}^{-1}\cdot\text{cm}^{-2})\), while melanopsin-null mice show no decreased sensitivity although they exhibit about a 10% decrease in the response amplitude at the highest irradiances analyzed (379). These data suggest that the visual photoreceptors complement the role of melanopsin in the regulation of nonvisual responses.

Whether melanopsin plays a role in vision is still an open question. Dendrites of pRGCs receive input from amacrine and bipolar cells, thereby providing an anatomical underpinning by which pRGCs may regulate visual pathways (37). Moreover, melanopsin has been involved in the regulation of the human cone visual pathway in response to long-term light exposure (186). It was shown that melanopsin-containing RGCs (likely paralogs of...
pRGCs in rodents), combine with rod and cone mechanisms to encode irradiance over the entire primate visual system range (92). These findings indicate that future models of vision may have to account for the contributions of the melanopsin-based photoreceptive system. In addition to their direct projection to the SCN, these cells also project to the intergeniculate leaflet and olivary pretectal nucleus, brain regions involved in modulation of circadian rhythms and the PLR (201). More recently, several groups have shown that melanopsin-containing pRGCs also project to the ventral subparaventricular zone, the ventrolateral preoptic nucleus, regions of the brain involved in sleep, and circadian locomotion (168, 342).

C. Light is the Message

It is remarkable that ubiquitous light serves as specific stimuli for two senses that are mediated via one sense organ, the eye. The nexus of light and time in the human's intricate neuronal network is clearly intriguing. When Granit referred to “our noblest sense organ” (424), such qualification was certainly justified in view of how the complex interpretation of the world of light, form, and color is organized. But this wording has even more weight today considering the implications of the eye being a dual sense organ that not only links light and vision but also light and time. The material presented herein indicates why the eye can be considered as a “sense organ for time.” A sense organ is usually able to receive only a certain kind of stimulus, and thus only certain kinds of communication from the environment. In the case of the human eye, a very limited band of the known electromagnetic spectrum is actually detected. In fact, visible light from the sun or man-made sources, in particular the blue-green spectrum, provides specific electromagnetic stimuli for photoreceptors in the retinas and thus mediates temporal information about external day and night and season to a master clock in the SCN. This information is then used by the SCN to adjust otherwise less efficient internal biological rhythms to the environmental light-dark cycle. Therefore, in addition to photoreceptors which primarily serve vision, i.e., rods and cones, humans and other species have photoreceptors which, apart from a series of further non-image-forming responses to light, convey crucial information about environmental time. Clearly, the novel ocular receptors are sensitive to light but, in addition, receptors have been referred to appropriately with regard to their effect rather than the type of stimulus.

VIII. RETINOHYPOTHALAMIC INTERACTIONS AND SUPRACHiasmatic NUCLEI NEUROPHYSIOLOGY

As we have already stated, the main input pathway to the SCN comes from the retina through a monosynaptic RHT. The demonstration of the importance of the RHT in circadian entrainment came from classical lesion experiments (235); moreover, electrical stimulation of this tract generates a PRC in SCN neuronal firing, with phase delays and phase advances (437). This tract was fundamental for the discovery of the SCN as a circadian clock (336), defining what has been known as a “circadian visual system” (339, 341), as opposed to the cognitive visual system. Even in blind animals (such as the blind mole rat), the RHT is responsible for circadian entrainment (73, 95, 364).

Upon photic stimulation, up to 40% of SCN neurons change their excitability, with rather long latencies (3, 117, 247, 427). The diurnal rhythm in membrane conductance of SCN neurons (228) is changed by light, inhibiting neuronal activity in most cases (229, 308). In this sense, many SCN neurons are light detectors quite sensitive for low intensities such as the ones found at dawn and dusk, between 0.1 and 1 lux (306, 309).

The main photic input to the SCN comes from the RHT, which uses glutamate (124, 188) as well as aspartate, PACAP, and substance P as neurotransmitters (80, 124, 131, 161, 189), although the evidence is still not conclusive for substance P (see Refs. 192, 245). A multisynaptic pathway, also originating from the retina, innervates the clock from the ventral lateral geniculate nucleus and the thalamic intergeniculate leaflet, using NPY and GABA as transmitters (194) (Fig. 8).

Several lines of evidence suggest that glutamate is the main photic signal for the circadian clock: 1) glutamate is released upon electrical stimulation of the RHT, and its application induces photic-like PRCs, while blocking glutamate receptors in the SCN inhibits the effects of light pulses; 2) different glutamatergic receptors have been found in the SCN, including NMDA, AMPA, and metabotropic types; and 3) some NMDA receptor subunits exhibit circadian changes in the SCN, suggesting that the temporal gating of photic effects on rhythms might include the very first step of entrainment: the reception of the RHT photic message (58, 78, 100, 124, 166, 305, 310, 319, 383, 385). In addition, PACAP has multiple roles in the nervous system (131), although its role in the RHT has been proposed to be a diurnal or nocturnal modulator of circadian rhythms (191, 195). More recently, the availability of mutant animals with null- or overexpression of PACAP or its receptors has revealed a fundamental role of this neuropeptide in entrainment (72, 190, 198, 435).

In addition, the photic response is modulated by several different signaling processes, including histamine (222), and GABA (164, 408), which is also rhythmic in the SCN (9, 363), as well as acetylcholine (50, 69, 531).

Other afferent pathways interact functionally with RHT projections. Such is the case, for example, with interactions between geniculate-hypothalamic and RHT
tracts, evidencing visual convergence that is decoded within the SCN. Lesions of the geniculate-hypothalamic tract result in a decrease in reentrainment rate after changes in the daily photoperiod (196, 197, 234, 386), while electrical stimulation of this structure induces phase shifts in locomotor activity (421). Although these effects, mainly mediated by NPY (13, 43), are of the nonphotic type, there is a clear interaction with the light synchronization pathway, probably through the activity of NMDA receptors in the SCN (reviewed in Refs. 524, 525). The same applies to the serotonergic pathway from the raphe nuclei; although it has been reported mainly as a key player in nonphotic signaling, it is clear that it also interacts with light-dependent mechanisms. Raphe lesions accelerate reentrainment to LD cycles (277) while serotonin (or agonists) administration affects behavioral entrainment and Fos expression in the SCN (126, 277). In addition, photic entrainment is altered in 5-HT₁ (446, 447) or 5-HT₇ (151) receptor knockouts.

Glutamatergic SCN fibers make synaptic contacts with different types of SCN neurons (249). Indeed, the SCN are heterogeneous nuclei in terms of their neurochemical characteristics, as well as their afferent and efferent pathways (260, 337, 484) (Fig. 9A). The two main subdivisions of the SCN are the core or ventrolateral area and the shell or dorsomedial region, based on RHT innervation and the neurochemical nature of cells in each area (337). The topographical organization of specific neuronal clusters suggests a differential functional division of SCN areas (20, 340, 519). The ventrolateral (VL)-SCN is located above the optic chiasm and is characterized by neurons that synthesize vasoactive intestinal polypeptide (VIP) and gastrin-releasing peptide (GRP), surrounded by the dorsomedial region which contains arginine vasopressin (AVP) and calretinin neurons. The VL-SCN receives most of the afferents from the retina and brain regions that receive photic input, as well as innervation from the median raphe. The dorsomedial (DM)-SCN, on the other hand, receives most of the input from the hypothalamus and limbic areas, as well as from the VL-SCN. Although the DM-SCN acts as a stronger autonomous oscillator, its robust and synchronized neuronal oscillation depends...

**Fig. 8.** A: ventral view of the mouse brain, showing the optic nerve and optic chiasm. B: cholera toxin B subunit (CTB) labeling of the SCN. CTB was injected bilaterally in the eye of a C57 Bl/6 mouse. Two days later, the animal was killed, and the brain was excised, cut in 40-μm sections, and stained for CTB to test for the integrity of the retinohypothalamic tract. C: light-induced c-Fos expression in the ventromedial hypothalamus. A mouse was killed 90 min after 15-min light pulse delivered at circadian time (CT) 15, and immunohistochemistry was performed with a c-Fos antibody (Santa Cruz Biotechnology), depicting strong expression in the SCN and the paraventricular nuclei (PVN). D: schematic representation of the retinohypothalamic tract, originating in the retinal ganglion cells (including photoreceptive GCs) and innervating (through glutamate and PACAP neurotransmission) the ventral part of the SCN.
to a great extent on neuropeptidergic release from the VL-SCN (20, 309, 332).

The SCN shell concentrates AVP neurons, while the core exhibits VIP-expressing neurons, as well as a cluster of calbindin-expressing cells in the hamster (see Ref. 20 for a review). Cells in the ventrolateral core are innervated by the RHT and express several genes, such as immediate-early gene fos and clock genes per1 and per2 in response to photic stimulation (183, 418, 520–523). VL-SCN cells respond to a photic stimulus during the subjective night with an increase in the expression of clock genes of the Period (Per) family and immediate early genes of the Fos family, whereas the DM-SCN shows a circadian oscillation of their expression. There are species-specific differences in the neurochemical nature of core cells, e.g., mice lack calbindin-expressing cells but exhibit a cluster of light-inducible GRP-expressing neurons (237). It has been proposed that these calbindin cells in the SCN core, although not endogenously rhythmic, might be responsible for cell-to-cell synchronization in the whole nuclei (517). An elegant way of following the regionalization of the SCN is to look along the path of clock gene expression after a light pulse (e.g., Refs. 329, 520, 523). Per1 and Per2 are regulated differentially throughout the core and shell regions (521). Per expression occurs first in the SCN core, which “travels” to the shell; moreover, there are differences between Per expression related to light-induced phase delays, apparently mediated by Per2 shell expression, and phase advances, correlated with Per1 expression in the same region (see Ref. 20 for a review). This is further supported by studies in animals with targeted or pharmacological inhibition of per expression (15, 65, 448).

The shell portion is not retinorecipient, but many of its AVP-expressing neurons are intrinsically rhythmic (183, 520). Therefore, one of the key questions in entrainment deals with the intercellular and interregional communication within the SCN. Indeed, while individual clock cells in culture express rhythms with different periods (508), in vivo or in organotypic cultures, their oscillation is synchronized sustaining a coherent phase and period (206, 283, 441). These oscillator network properties of the SCN are essential for the nucleus to act as a tissue pacemaker with coherent circadian outputs. Neuropeptide signaling, including VIP (through VPAC receptors) and GRP-mediated communication, has been reported to be necessary for this synchronizing role (300, 303). Indeed, the role for VIP in light-induced entrainment is supported by several studies in mice lacking VIP receptors, where not only is the locomotion pattern altered, but photic responses are not as strong as in wild-type animals (e.g., Ref. 211). In addition, a recent study on intercellular synchronization in VIP receptor knockout mice, looking at the expression of Per-GFP constructs in individual cells, suggests that this receptor is important for cellular coupling in the SCN (210). Other intra-SCN synchronizing...
mechanisms proposed include NO and GABA neurotransmission (16, 29, 282, 283, 318, 471, 497) as signals that couple SCN cells and might relay photic phase-adjustment information from the retinorecipient SCN to the dorsal region.

Trophic factors might also participate in photic signaling in the SCN. Indeed, brain-derived neurotrophic factor (BDNF) has been suggested to play a role in entrainment, as reported in vivo studies with heterozygous BDNF mutant mice that exhibit impaired responses to light (279), as well as in vitro experiments that show that this neurotrophin can increase NMDA-induced phase shifts in suprachiasmatic neurons (246, 317). Mice targeted for neurotrophin-receptor genes, such as TrkB or p75, also exhibit decreased phase shifts in response to light pulses (19, 162), supporting the notion that trophic factors play a role in photic entrainment. Indeed, as we shall discuss later, neurotrophins, including nerve growth factor (NGF), might share common steps in the intracellular photic entrainment pathway.

As for intra-SCN signaling, we have recently proposed that NO is an appealing candidate for modulating coupling among cellular networks, due to its rapid and transcellular effects (397). It is therefore plausible that NO acts as a transcellular messenger in the SCN in vivo, contributing to interregional communication between suprachiasmatic areas with different primary functions. NO is synthesized in SCN neurons from L-arginine by neuronal NO synthase (nNOS) (501); increases in NO mimic light-induced phase shifts (108), and nNOS inhibition impede photic phase-shifts of the activity rhythm in the hamster (311). Also, photic stimulation increases nNOS activity in the SCN (4, 134). Moreover, there is compelling evidence that NO-mediated signal transduction (in particular, through guanylyl cyclase activation) is specifically necessary for light-induced phase advances of the clock (see below; see Ref. 158 for a review).

In addition to its role as an intracellular messenger, NO could also convey photic information by diffusing within the SCN tissue as an extracellular messenger. Due to its nonpolar nature, it can cross cellular membranes, easily diffuse across the extracellular matrix, and regulate neural activity outside of its synthesis site. In this way, it could also act as a fast and specific extracellular signal for the coupling of individual neuronal oscillators within the SCN. To study the role of NO in intercellular communication, we have used a selective scavenger of extracellular NO, PTIO, which cannot pass the cellular membrane. PTIO blocked both light-induced phase advances and c-Fos expression in the SCN, suggesting that extracellular NO signaling is necessary for circadian photic entrainment (397 and unpublished data) (Fig. 9B).

It is also interesting to consider the retina and the RHT not only as passive transducers of environmental photic information but also as active characters in the theater of circadian oscillations in the SCN. Indeed, local retinal oscillators (476) might influence not only their local environment but also the activity of their efferent targets. Although relatively subtle, there are specific effects of orbital enucleation on suprachiasmatic cycles and responses to diverse stimuli. It is not only photoreceptor degeneration that is responsible for these effects, since enucleation induces different changes in the SCN and circadian rhythms that those induced by degeneration models (e.g., Refs. 474, 518).

While some SCN rhythms are abolished by enucleation, such as the cycle of extracellular signal-regulated kinase (ERK) in a subset of SCN cells (271), most evidence suggests that the removal of the eyes induces plastic changes in the architecture of pacemaker neurons. Indeed, trophic factors (331) and adhesion molecules (516) change their SCN expression and distribution after enucleation, as well as astroglial distribution in the nuclei (267). Removal of the eyes also induces an increase in Fos expression in the SCN (35, 294). The eyes also seem to participate in the response of the SCN to other brain structures. As already stated, thalamic stimulation affects SCN-driven circadian rhythms, and part of this effect is dependent on retinohypothalamic projections to the nuclei, suggesting that antidromic innervation of retinal ganglion cells is essential for the correct communication of different relay stations of the system (240). Even if small, these changes do have an impact on circadian parameters, in particular on the period variability (518). Moreover, the development of the RHT tract certainly influences the establishment of neural connections in the SCN and, correspondingly, the pattern of the sleep-wake cycle (in terms of the nocturnal niche) in the developing rat (145).

IX. SIGNAL TRANSDUCTION IN THE MAMMALIAN SUPRACHIASMATIC NUCLEI

As already mentioned, glutamate is the main signal for photic entrainment. In addition, the metabolism of this neurotransmitter in the SCN, although having received relatively little attention, plays an important role in the cellular characteristics of SCN communication. There is evidence that glutamate can also play a role in SCN output to other hypothalamic structures, suggesting this neurotransmitter might be a signaling molecule of suprachiasmatic cells (532). Glutamate can also be synthesized in SCN glia or neurons and is recycled through the glutamate/glutamine cycle in glial cells (203, 341). Moreover, although glutamate uptake is not regulated in glia in a circadian fashion, it is modulated by clock gene expression (36). Figure 10 summarizes the glutamate/glutamine cycle in the SCN. In addition, glial cells are also rhythmic, at least in terms of their neurochemical arrangements.
(i.e., glial fibrillary acidic protein distribution) (60, 153, 268, 274, 281, 344), and this cyclic environment could also contribute to the temporal gating of photic input to the SCN, a possibility that has yet to be tested rigorously, especially taking into account that glial cultures are able to exhibit endogenous, although weak, circadian rhythmicity (399). Glial architecture seems to be related to retinohypothalamic innervation, in particular during development (267, 268, 357, 518).

Back to glutamate, the application of NMDA or non-NMDA antagonists blocks light-induced phase shifts, suggesting that these receptors are part of the entrainment process (70, 148, 149, 343, 492). These antagonists also inhibit light-induced c-Fos (124, 176, 319, 343, 383) and Per1/Per2 expression (343, 383) in the SCN. On the other hand, glutamate or NMDA administration mimics the effects of light in terms of its PRC (310, 322, 324). In another study (108), glutamate microdrop application to SCN slices resulted in phase advances and delays that very closely resembled a photic PRC.

Downstream of glutamate receptor activation, voltage-dependent calcium channels have been implicated in glutamate- or light-induced phase shifts (244), and it has been suggested that calcium dynamics might be part or interact closely with the molecular feedback loops of the biological clock (216, 217). NMDA-induced calcium transients in the SCN exhibit circadian changes in their magnitude and duration (67). These transients probably play a role in the temporal regulation of photic input to the clock and are also modulated by other neurotransmitters such as PACAP, 5-HT, NPY, or GABA (with GABA effects being reported as excitatory or inhibitory, depending on developmental stage and other variables) (81, 87, 254, 371, 483). It is interesting that circadian gating of photic responses might be at least partially exerted at the glutamate receptor-calcium in-

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**FIG. 10.** A model of the glutamine-glutamate cycle in the SCN. Glutamate is the central neurotransmitter released by retinal cells in response to light. Neurons and astrocytes express different types of glutamate receptors (GluR). Most of glutamate uptake after its release to extracellular space is mediated by glial transporters (GLAST, GLT-1). In astrocytes, glutamate may be converted into glutamine by glutamine synthetase (GS). In turn, glutamine may be released; neurons are able to take it up and convert it to glutamate through glutaminase (an enzyme present in both cell types). We have found diurnal and circadian variations in GS activity in the SCN and a diurnal variation in glutamate uptake in SCN synaptosomes (Leone et al., unpublished data).

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flux process, since the effect of agonist application is time dependent (68) (Fig. 11).

There are differences in basal [Ca^{2+}]_i in rats killed during the light or the dark phase of their cycle (68), which persist under constant darkness and might play a role in the regulation of photic information reaching the SCN. Since those variations were abolished by presynaptic inhibition, it is likely that an extracellular control of basal [Ca^{2+}]_i exists.

Although extensively modulated by several neurotransmitters, the main signal involved in Ca^{2+} influx into SCN neurons is glutamatergic, and the Na\(^+/\)Ca\(^{2+}\)-permeable receptor NMDA is necessarily involved in its function (2). The Ca^{2+} influx associated with NMDA receptor activation is thought to produce brief, high concentration (>100 \(\mu\)M) localized gradients of Ca^{2+} near open channels. In addition, other Ca\(^{2+}\)-permeable membrane channels (such as voltage-gated ones) or Ca\(^{2+}\) organelle stores could play an important role in signal transduction, because metabotropic glutamate receptors and intracellular release also modulate [Ca\(^{2+}\)]_i (180, 181). Moreover, these receptors have also been reported to be part of the output pathway of the circadian clock (382). Recent evidence suggests that voltage-dependent Ca\(^{2+}\) channels are responsible for changes in postsynaptic calcium influx in the SCN; blockade by nimodipine indicates the involvement of L-type Ca\(^{2+}\) channels (219). Voltage-dependent Ca\(^{2+}\) channels are rhythmically expressed in the rat SCN and in an immortalized SCN cell line (358). As for downstream mechanisms related to [Ca\(^{2+}\)]_i, it has recently been shown that extracellular calcium influx is necessary for depolarization-induced mouse Period1 (mPer1, one of the most studied clock genes, see below) expression in cerebellar cells (12). This effect was blocked by nifedipine and Ca\(^{2+}\)/calmodulin-dependent protein kinase inhibitors. Moreover, calcium channel inhibition by cadmium prevented Per and Bmal oscillations in SCN cells (358).

According to pharmacological and electrophysiological evidences, intracellular calcium is also important in terms of SCN regulation. Ryanodine receptors present a circadian variation in its ligand binding properties (8, 106), which influences intracellular calcium dynamics. Ding et al. (107) demonstrated that these receptors are involved in light synchronization at the beginning of the subjective night, when light induces phase delays of circadian rhythms. Ryanodine inhibition or calcium reservoir depletion block glutamate-induced phase delays at this day time.

Inositol trisphosphate (IP_3) receptors are also likely candidates for regulating Ca\(^{2+}\) mobilization in the SCN (184). Types I and III IP_3 receptors are expressed in a circadian fashion, peaking during the early and late subjective night, respectively (185).

Following the pathway, many intracellular Ca\(^{2+}\) effects are mediated by the activation of a family of Ca\(^{2+}\)/calmodulin-dependent protein kinases (CaMKs), which itself might be regulated by light and a circadian clock (4). Among other isoforms, CaMKII is present in the SCN and, together with calmodulin, has been implied in the photic synchronization process (144, 163, 165, 436). On the other hand, CaMKII inhibition also blocked Per1/2 expression in the SCN (526), while the activation of the enzyme induced Per1 expression in vitro (366, 367) (see Fig. 12).

A. Entrainment “Alla CREB”

A CaMKII (and other kinases) substrate also implied in entrainment is CREB. CaMKII inhibition blocks light-induced CREB phosphorylation (163). Indeed, the phosphorylation and the subsequent increase in Per expression is one of the likeliest general mechanisms involved in photic phase shifting (109, 152, 155, 369, 429, 496). Moreover, CREB might be a common element where multiple entrainment pathways converge (496) (Fig. 12).

Although regulated by several pathways, CREB traditionally points to a cAMP-responsive transduction signal. Binding to cAMP-responsive elements (CREs) regulates gene transcription, and several posttranslational mechanisms converge into this regulation, including not only CREB phosphorylation but also the induction of ICER (inducible cAMP early repressor), which enables a transient control of gene expression (264). ICER is en-
encoded by the CREM gene, which has been found to be fundamental in the control of pineal melatonin secretion, both under daily and photoperiodic conditions (140, 141). ICER is also induced by light pulses in the SCN during the subjective night (449). More recently, ICER-CREM was found to be light-responsive in the VL-SCN after light administration, following several temporal events that included CREB phosphorylation (5 min after light pulse), per mRNA expression (~1 h after light exposure) and, finally, ICER-CREM expression (431), maybe expressing a photoperiodic code in the SCN (314).

Indeed, the temporal regulation and the speed in the expression of posttranscriptional events following entraining light pulses helps to understand the pathway leading from light to the hands of the circadian clock. Classical two-pulse studies had suggested that, although there is a delay in the phase change of overt rhythms, the circadian oscillator is instantaneously shifted after light pulses (389). This two-pulse paradigm assumes that the second light pulse will find the oscillator in a different state, provided the first pulse had an immediate impact on the clock. Indeed, light pulses separated by just 1 h demonstrated a very rapid change in terms of CREB phosphorylation (41).

More recently, cAMP signaling was proposed to be not only part of the entrainment pathway but rather to the molecular mechanism of the circadian clock (as we shall briefly cover in a following section) itself. O’Neill et al. (368) have reported that cAMP is needed to sustain the molecular feedback loops in the SCN. According to their model, constructed with a diversity of pharmacological approaches that include different cAMP inhibitors, cAMP might be an output of the clock that feedbacks as an input, thus providing robustness and fine-tuning of period,
phase, and amplitude. Again, the ouroboros concept: it is
difficult to distinguish the exact location of components
of the circadian system; indeed, multiple layers of feed-
back provide insurance against perturbations in a variety
of physical and biological systems.

Another effector of cAMP signaling is PKA, a cAMP-
dependent protein kinase, which is able, among many
other effects, to catalyze CREB phosphorylation in sev-
eral models. cAMP content and PKA activity fluctuate in
the SCN both in vitro (402) and ex vivo (135); cAMP
application in vitro induces daytime phase shifts (403),
suggesting that this signaling pathway is primarily in-
volved in nonphotic phase shifting. However, the fact that
the cAMP/PKA pathway is responsive to glutamate (467)
or VIP (316) stimulation, the fact that its inhibition phase
shifts the clock (272), and, moreover, its role in CREB
regulation suggest a complex role for cAMP signaling in
the SCN, which might be decoded with the help of func-
tional genomics studies (530). Indeed, the exact relation-
ship between cAMP/PKA signaling with clock gene path-
ways remains to be established, other than its need for
per oscillation robustness (368) or PKA-mediated CREB
phosphorylation implicated in in vitro Per activation in a
model of peripheral oscillators (345).

**B. A MAPK of Circadian Entrainment**

Another classical effector of the cAMP signaling
pathway is the family of MAPKs (Fig. 13). Indeed, noctur-
nal light pulses activate ERK2 in the rat SCN, while in vivo
inhibition of the pathway blocks the circadian response to
light (53, 55, 122, 370). We have extended those results to
other members of the MAPK family in the hamster, in-
cluding ERK1/2, p38, and JNK (395). The three of them
exhibit diurnal and circadian changes in their SCN activ-
ity, as measured by the increase in their phosphorylated
form during the day. Moreover, ERK1/2 and JNK (but not
p38) respond to nocturnal light pulses. These changes
might be triggered by a differential effect of light on
MAPK kinases and/or MAPK phosphatases, an issue cur-
rently under investigation. Again, CREB stands out as a
putative interface for photic entrainment, since some
MAPKs are capable of phosphorylating this factor in the
SCN (73). Moreover, CREB (as already stated) and MSK-1 (54)
phosphorylation are the most likely candidates.

The input pathways for MAPK activation in the SCN
are not completely known, and we have provided evi-
dences for NGF regulation of these kinases (396). Indeed,
NGF induces a photic-like PRC in hamsters and activates
ERK by phosphorylation. Additional evidence of MAPK
involvement in entrainment comes from our experiments
in which activation of ERK was achieved by in vivo trans-
fected of a constitutive form of MAPK kinase
activation (MEKee), thus inhibiting the normal cyclic progression of
the pathway. Under these conditions, light was unable to
induce phase shifts, indicating that it is not only the
presence, but the rhythm of MAPK activation, that is
needed for entrainment (182). Recent work has also pro-
duced evidence supporting the role of MAPK-CREB mod-
ulation of microRNAs that ultimately affect photic en-
trainment (85).

**Fig. 13.** Mitogen-activated protein kinases (MAPKs) in SCN neu-
rons can be activated by light-induced neurotransmission and/or extra-
cellular signals such as trophic factors. The three main members of
the MAPK family are expressed and activated rhythmically in the SCN and
also respond to light pulses at the times during which light induces
phase shifts of circadian rhythms. This activation (phosphorylation)
might be related to changes in MAPK kinases (MEKs) or MAPK phos-
phatases (MKPs), several of which were found to be expressed and
active in the SCN. Diverse putative MAPK substrates have been pro-
posed in the SCN, including clock genes and transcription factors.
Interestingly, MAPK oscillations have been implicated in other CNS pathways, including the establishment and persistence of long-term hippocampal-dependent memory, suggesting a multitemporal system not only cyclic, but also defining a past and a future of events (125).

C. Lights Means NO: the NO-cGMP System in Circadian Entrainment

Another downstream candidate for the pathway is NOS phosphorylation by CaMKII. As already mentioned, NOS activity is necessary for entrainment, at least according to pharmacological experiments (108, 311, 502, 504). In addition, NO donors increase the circadian response to light (311). However, mice with a null mutation for the neuronal or endothelial isoforms of NOS (nNOS/ and eNOS/ ) did not show any difference from controls in terms of their photic responses, suggesting that other isoforms might also be involved in such mechanism (258, 259). We have reported that nNOS is phosphorylated by CaMKII in the SCN and that this enzymatic interaction is also needed for normal phase shifts in response to light (4).

Up to this stage, the entrainment pathway so far described can only explain the first of the gating mechanisms that regulate light-induced phase shifting of circadian rhythms, i.e., the differential response to light during the day and the night. However, CaMKII and nNOS do not necessarily differentiate between the opposite effects of light within the subjective night: delaying the clock in the early night and advancing it towards the end of the night. The Argentine writer, Jorge Luis Borges, would have been delighted with this successive bifurcation of light temporal signaling: “Time forks perpetually towards endless futures” (44a).

Downstream from CaMKII/NOS, the photic pathway experiments a second gating process that is not completely understood, but whose understanding is fundamental to grasp the differences between phase delays and advances, and to devise specific treatments for circadian disorders. A specific intracellular event that only correlates with light-induced phase delays is Ca2+−induced Ca2+− release activated by ryanodine receptors (107).

On the other hand, during the late night (when phase advances occur in response to light), NO is able to activate the soluble form of guanylyl cyclase (sGC), leading to an increase in cGMP levels and the eventual activation of cGMP-dependent kinase (PKG) (Fig. 14). During the late night, therefore, the activation of the sGC-cGMP-PKG pathway is known to be involved in phase advances (136, 158, 298, 470, 505), suggesting that the accessibility of this specific signaling pathway is fundamental for regulation of circadian timing. The SCN clock is sensitive to cGMP analogs only during the subjective night of the circadian cycle, a temporal window when light stimuli in vivo advance behavioral rhythms (404), in antiphase with the effect described for cAMP (which induces phase shifts when applied during the subjective day).

In the SCN, the type II isoform of PKG is expressed (136, 376, 468), and cGMP levels and PKG activity peak during the day or subjective day (136, 158). The latter variables are significantly increased by late (but not early) night light pulses, in accordance with light-induced phase advance timing. In addition, pharmacological inhibition of the cGMP-related pathway in the SCN significantly reduces light-induced phase advances (136, 298, 505).

FIG. 14. Divergent transduction pathways for circadian phase delays and phase advances. Both pathways have in common their sensitivity to glutamate stimulation (from the retinohypothalamic tract) and their final interaction with clock genes via specific transcription factors (such as pCREB). It is possible that phase delays and advances represent converging steps into the same molecular feedback loop but differ in the phase at which they reach this loop, thus affecting the cycle at different levels of clock genes. However, there is evidence suggesting specific pathways for accelerating or delaying the clock. In the early night, light pulses activate ryanodine (RyR)/IP3−related intracellular calcium mobilization. On the other hand, during the night, nitric oxide (NO) activates cGMP synthesis, leading to cGMP-dependent protein kinase (PKG) activation. Pharmacological manipulation of cGMP levels (e.g., by inhibiting cGMP-phosphodiesterase, PDE, and thus increasing cGMP levels) enhance light-induced phase advances of circadian rhythms. Mechanisms downstream of PKG, leading to clock gene activation and behavioral phase shifts, are currently not well understood.
One of the aims of studying signal transduction of photic entrainment is to imagine and eventually design pharmacological treatments to aid in certain conditions where synchronization is compromised. The question is, then, how to use the information about the cGMP-related pathway in practical terms. cGMP variations can be caused by either synthesis (by guanylyl cyclase) or degradation (by phosphodiesterase (PDE)) (157). The cGMP variation in the SCN appears to be related to temporal changes in PDE activity, peaking at night (136). While we have found at least five PDE isoforms in the SCN (Agostino et al., unpublished data), it is important to state that the PDE5 isoform is included in the group, an enzyme well known in terms of its pharmacological susceptibility. Indeed, several drugs inhibit PDE5 and could be used to increase cGMP levels in the SCN, under the hypothesis that this manipulation would render the system more sensitive to light stimulation. As we have recently reported, the selective PDE5 inhibitor sildenafil (along with other inhibitors of different pharmacokinetics profile) not only increased light-induced phase advances of locomotor activity circadian rhythms (and not phase delays) but also accelerated reentrainment to a 6-h phase advance in the light-dark cycle in an animal model of jetlag (7).

X. FROM LIGHT TO GENES: MOVING THE HANDS OF THE CIRCADIAN CLOCK

Although not the main feature of this review, and having received considerable attention in recent years (94, 248, 252, 287, 288, 428, 443, 451), the molecular circadian clock is at the core of contemporary chronobiological research.

Within the SCN, rhythms are generated in circadian pacemaker cells by a complex of molecular feedback loops that positively and negatively regulate the transcription of core genes (e.g., period, cryptochrome, bmal1) of the circadian clock (375, 412, 433). It is interesting that the transcription-translation loop that generates molecular oscillations of clock genes is remarkably conserved among species and even distant groups, suggesting a possibly monophyletic origin of such a mechanism (119, 121). The system is described and summarized in Figure 15.

Forward genetic approaches have unraveled several genetic components isolated from distinct circadian phenotypes, advancing our knowledge of the molecular circadian clock (442, 451, 454). In addition, genome-wide identification of clock-controlled genes, as well as their protein products and their interactions, provide important information for the understanding of the systemic behav-

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**FIG. 15.** A simplified view of the molecular circadian clock. The mammalian clock is composed of a negative-feedback loop involving clock genes clock, bmal1, per1, per2, cry1, and cry2. Transcription factors Clock and Bmal1 interact and activate per and cry expression by binding to an E box in their promoter regions. In turn, Per and Cry heterodimerize, translocate to the nucleus, and inhibit Clock/Bmal-induced gene expression. After the Per/Cry complex is degraded, the cycle starts again. Posttranslational modification (mainly by phosphorylation) is fundamental for regulating translocation, dimerization, and/or degradation of clock proteins. For example, Per1 and Per2 are phosphorylated by casein kinases ε and δ, controlling their nuclear translocation and also degradation by the proteasome. A second feedback loop involves the expression of Rev-Erbα (also regulated by Clock/Bmal binding to its E-box region), which in turn represses Bmal1 transcription through an RAR-related orphan receptor (ROR) element, adding robustness to the cycle. Other clock-controlled genes (CCGs) include E boxes in their promoters and are therefore regulated by the molecular circadian clock.
ior of circadian cogs and wheels within and between cells (e.g., Ref. 96).

Early evidences point to the dependency of photic entrainment on protein synthesis (534); however, post-translational modifications of clock proteins are deeply related to circadian features such as period and phase. Among these, protein phosphorylation is a key event regulating circadian period (147, 491, 493), and also provides putative targets for the pharmacological adjustment of the system (5, 6, 31, 493).

Protein kinases casein kinase 1 epsilon (CK1ε) and delta (CK1δ) are among the first that have been implied in post-transcriptional regulation of activity in the components of the core molecular clock. Casein kinases regulate PER1/2 and CRY1/2 proteins accumulation and degradation metabolism, as well as the activity of BMAL1; in addition, phosphorylation chaperones dimeric combinations of these proteins into the nucleus to regulate mper and mcry transcription (119, 375, 412, 433). Mutations in both ck1ε and ck1δ induce profound changes in circadian period by altering both the accumulation of protein in the cytoplasm as well as nuclear translocation of the dimers (10, 127, 129, 270). The ck1εΔmut mutation represented the first complete description of a circadian mutant in mammals (285, 286, 409), and in addition, it fosters changes in entrainment, including a larger response to light pulses (439, 440). We have recently demonstrated that ck1ε is not only important for period determination but also participates in the photic input pathway to the clock (5, 6). Indeed, changes in circadian period require alterations in photic entrainment to adjust the endogenous oscillation to the environmental photoperiod (286, 287, 313, 448, 500). Our and other recent data indicate that the photic sensitivity of the system is also dependent on the activity of ck1ε, since enzyme activity prior to a light pulse is necessary for normal phase shifting and entrainment (5, 6, 220). Although the tau mutation was originally believed to induce a loss of function of the coded enzyme (286), recent data suggest that specifically for Per as a substrate, CK1εΔmut proteins might actually represent a gain-of-function mutation (146); moreover, other clock genes might also be CK1ε targets (128). In any case, the activity of this enzyme offers a new target and perspectives for pharmacological changes in circadian entrainment.

The study of animals carrying specific mutations in different components of the molecular circadian clock opens a window to study their role in entrainment mechanism, as is summarized in Table 4, which includes the effect of mutations in clock genes and in genes that encode modulatory proteins of the molecular clock mechanism.

The molecular framework of circadian rhythmicity offers several windows on which light might be able to change the speed of the oscillation and induce the phase shifts necessary for daily entrainment. A classical effect of light stimulation induces the expression of several genes in the SCN; historically, the first photically responsive genes to be described were the immediate-early genes fos and jun, which led to the formation of the transcriptional activator complex AP-1. The synthesis of AP-1 exhibits a circadian rhythm mediated by its different components (456) and is clearly light dependent, correlating with the PRC to light in terms of behavioral responses (see Refs. 161, 166, 309 for reviews). c-Fos (and

### Table 4. Mammalian mutations affecting circadian entrainment

<table>
<thead>
<tr>
<th>Genotype</th>
<th>Effect</th>
<th>Reference Nos.</th>
</tr>
</thead>
<tbody>
<tr>
<td>BDNF heterozygous mutant mice</td>
<td>Decreased amplitude of light-induced phase shifts</td>
<td>270</td>
</tr>
<tr>
<td>Bmal1−/− mice</td>
<td>Altered activity in LD; arrhythmic in DD</td>
<td>51</td>
</tr>
<tr>
<td>CK1εΔmut hamster</td>
<td>Increased response to light pulses</td>
<td>5, 439, 440</td>
</tr>
<tr>
<td>Clock ± mice</td>
<td>High-amplitude PRC; enhanced responses to 6-h light pulses</td>
<td>494</td>
</tr>
<tr>
<td>Dec1+/− mice</td>
<td>Faster reentrainment rate after a 6-h phase advance of the LD cycle</td>
<td>361</td>
</tr>
<tr>
<td>Dextras 1−/− mice</td>
<td>Decrease in photic entrainment and increase in nonphotic responses</td>
<td>83, 84</td>
</tr>
<tr>
<td>mCry1−/− and mCry2−/− mice</td>
<td>Increased response to phase-delaying light pulses</td>
<td>448</td>
</tr>
<tr>
<td>Melanopsin−/− mice</td>
<td>Reduced phase-shift response to light</td>
<td>202, 379</td>
</tr>
<tr>
<td>mPer1/mPer2 double mutant mice</td>
<td>No response to light stimulation</td>
<td>535</td>
</tr>
<tr>
<td>mPer1−/− mice (mPer1Brdm1)</td>
<td>Lack of photic phase advances under Aschoff type 2 protocol</td>
<td>15</td>
</tr>
<tr>
<td>mPer2−/− mice (mPer2Brdm1)</td>
<td>Lack of photic phase delays and large photic phase advances under Aschoff type 2 protocol</td>
<td>15</td>
</tr>
<tr>
<td>Npas2−/− mice</td>
<td>Enhanced adaptability to a phase advance in the LD cycle</td>
<td>118</td>
</tr>
<tr>
<td>Pac1−/− mice</td>
<td>Decrease in light-induced circadian phase shifts</td>
<td>72, 190, 241</td>
</tr>
<tr>
<td>Rev-erbα−/− mice</td>
<td>Large photic phase advances</td>
<td>398</td>
</tr>
<tr>
<td>RorB−/− mice</td>
<td>Increased response to phase-advancing light pulses; slower reentrainment after a 6-h advance in the LD cycle</td>
<td>297</td>
</tr>
<tr>
<td>Vipr2−/− mice</td>
<td>Abnormal entrainment to light cycles; impaired responses to light pulses</td>
<td>29, 209</td>
</tr>
<tr>
<td>Vip−/− mice</td>
<td>Abnormal entrainment to light cycles</td>
<td>29, 71</td>
</tr>
</tbody>
</table>

Mutations in key elements of the molecular circadian clock, as well as mutations in the circadian photoreceptor pathway, exhibit changes in photic entrainment. CK1ε, casein kinase 1 epsilon; Npas2, neuronal PAS domain protein 2; Pac1, PACAP receptor type 1 (PAC1); rbp, retinol-binding protein; Vip, vasoactive intestinal polypeptide; Vipr2, gene encoding the VIP receptor VPAC2; RorB, retinoid-related orphan receptor β.
most likely other immediate-early genes), however, is probably better interpreted as a marker of unspecific neuronal activity and is also redundant in terms of function, since c-fos null mutants still respond to light (207). Anyway, the first evidences for a temporal gating in light-induced gene expression came from studies investigating the regulation of the immediate-early gene c-Fos in the SCN. Although revolutionary in their times, since these studies provided some of the first molecular tools related to a gene expression change in response to light in the SCN, this pathway did not really lead to a precise understanding of the circadian entrainment pathway. In addition, about one-third of the genome (at least in humans) shares AP-1 sites, so the response in terms of transcription is certainly not highly specific (536).

The rapid pCREB induction (109, 155, 496) and the subsequent CRE activation (369) led to the discovery of CRE-regulated genes that were rhythmically expressed in the SCN, including clock genes such as per (469, 477). We will focus on some of the mutations that affect photic entrainment, specifically on the effect of clock genes on synchronization. Period genes (per1 and per2) are induced by light in the SCN, at the same time in which light elicits phase shifts (14, 343, 434, 438, 457, 538). In mice, their mRNA levels start to increase \( \sim 10-15 \) min after light exposure and peak between 60 and 120 min after the light pulse (with per2 peaking later than per1) and return to basal values \( \sim 3 \) h later; protein levels also increase after light treatment (522). Photic phase shifts in locomotor activity are attenuated by antisense oligonucleotides to mPer1 and mPer2 in mice (11), the coadministration of both oligonucleotides completely inhibits photic phase shifts, suggesting an additive role in entrainment (499). There appears to be some divergence between clock gene expression and behavioral entrainment; for example, light-induced expression of Per2 occurs in the late subjective day (14, 457, 538), a time when there is no photic-related change in the phase of locomotor activity rhythms.

Cry genes also play an important role not only in the generation of circadian rhythms, although their participation in circadian entrainment is not completely clear. The expression of cry is regulated by light, and although animals bearing a null mutation in mCry1/mCry2 become arrhythmic under constant dark conditions, in double-mutant animals there is still light-induced expression of per genes in the SCN (374) and, moreover, mCry2\(-/-\) and, even more, mCry1\(-/-\) mutants exhibited an increased response in light-induced phase delays in the early subjective night (448).

The control of per, and presumably cry, expression, both for its endogenous cycling and its light-induced activity, is driven by CRE elements in its promoter region, as well as by E-box elements that respond to BMAL-CLOCK transcriptional activation (252, 413). Bmal1 mRNA is also modulated by light in the SCN, with a stronger induction in the early subjective day (1), while the BMAL1 protein expression is reduced after nocturnal light stimulation (458). Moreover, Bmal1 mutant mice exhibit significant deficits in entrainment to a LD cycle (51), which could reflect intrinsic changes in Bmal1 activity or a disregulation of other clock genes expression.

As for Bmal’s transcriptional partner CLOCK, the analysis of heterozygous null mutants has shown enhanced photic behavioral phase shifts, although the expression of per genes in response to light was not significantly affected. Indeed, since endogenous rhythms in per expression are reduced in CLOCK mutants, a stronger response to resetting stimuli such as light pulses might be expected (494).

Posttranscriptional modifications of core clock genes also play a role in circadian entrainment, as exemplified by the analysis of tau mutant hamsters bearing a \( \text{ck1}^{\text{tau}} \) mutation, which exhibit period shortening, phase-advanced entrainment (409), and high-amplitude light-induced phase shifts with respect to controls (286, 440). Changes in phosphorylation state of PER and CRY in tau mutants might alter period by acting directly on the rhythm generation mechanism at the molecular level (287) and, as we have recently shown, the mutation results in a heightened sensitivity to light, suggesting that CK1\( \epsilon \) also regulates the photic entrainment pathway (5).

On the other hand, the circadian entrainment role of other kinases that interact and set the level and phase of clock genes, such as GSK-3\( \beta \) (215), remains to be established.

The molecular state of the circadian system has become more complex since the description of epigenetic mechanisms that are controlled by the clock and also regulate the transcription of clock genes. Alteration of chromatin structure is a fundamental step that gates transcription, and the molecular clock is not an exception, being regulated by several biochemical modifications such as histone acetylation among others (17, 112, 174, 175, 204, 360). Is it possible that light-induced expression of clock (and other) genes is also dependent on these epigenetic mechanisms, since phospho-CREB binding to CRE promoter sites also depends on histone acetylation (362).

XI. EVOLUTIONARY AND CLINICAL PERSPECTIVES ON CIRCADIAN ENTRAINMENT

Both the clock mechanism and the entrainment pathway represent extremely robust processes that have been fairly conserved in evolutionary terms. Photic input to the clock seems to have been spared from the need of cognitive vision, since there are several indications of entrainment in the absence of conscious visual cues. The
dynamics of the SCN appear to be well adapted to both the daily photoperiod and its seasonal variation. A well-adapted temporal niche is certainly relevant for survival, and photic and nonphotic cues contribute to a correct temporal allocation of resources and physiological economies (77, 120).

However, we could consider human circadian rhythms in the post-Edison era as an aberration in terms of adaptation to their natural environment. It has been said that we are prepared for a world that does not exist anymore, with reliable external markers for timing that are not masked by artificial cues (333). Life in this “Enlightenment” era comes accompanied by specific circadian disruptions that could be considered a sign of our times. Among these, adaptation to rotating or abruptly changed time schedules (such as shift work or jetlag) is limited by the inertia of the circadian system that, although plastic, exhibits difficulties in adjusting to changing environments. Indeed, chronic circadian disruptions (which are considered a particular sleep disorder, Ref. 422) lead not only to an impairment in the sleep-wake cycle, but also to reduced performance and productivity, a higher accident rate, and even serious health risks. Treatment for circadian sleep disruptions include chronotherapy (i.e., behavioral phase shifting of rhythms until the right phase is achieved), phototherapy (light treatment), and the pharmacological use of chronobiotics, i.e., compounds that move the hands of the circadian clock. Indeed, two of the most studied agents for changing the speed and phase of circadian oscillations are light and the pineal hormone melatonin (63, 381). Although important phase shifting capabilities have been found for melatonin and a variety of compounds in human circadian rhythms, it is clear that the main entrainment agent in most terrestrial animals is light. When appropriately administered and timed, photic stimulation is able to phase shift human circadian rhythms, including the sleep-wake cycle, and accommodate the circadian phase to the desired time point (76).

Light is cheap (and even free, when considering a nice diurnal walk in the park as a potential therapeutic agent), very effective and, when properly used, without any side effects, so combining any circadian treatment with some kind of photic therapy is certainly bound for success. Circadian and sleep clinical trials are sometimes heroic efforts, with relatively low levels of compliance; however, the figures available for people suffering some form of circadian-related transient insomnia (including an estimated 20% of the work force undergoing some kind of shift work schedule and an unknown prevalence of jetlag-related disorders) deserve the best of our efforts.

Health and quality of life are affected in several ways directly linked to the circadian system, which could be grouped into three main families: 1) intrinsic failure of the clock or disarrangements of the internal synchrony among rhythms and oscillators, 2) pathological alterations in the input pathway, and 3) disadjustments between internal and external temporal cues.

The clock is altered in normal aging, resulting in a decreased amplitude of overt rhythms and, in turn, difficulties in adjusting to temporal changes (154). In addition, some polymorphisms in human clock genes have been implied in abnormal phase changes in the sleep-wake cycle, leading to severe forms of insomnia. For example, a mutation in 5c16 and a loss of a phosphorylation target of CK1ε,δ in the mper2 gene (S662G) shorten circadian period and result in a form of advanced sleep phase syndrome (472, 473, 515); other mutations have recently been traced to sleep disease (406) (Table 5). Since mutations in clock genes have also been related to differential responses to psychoactive drugs, the circadian system is an important target for psychiatric research (33). Although some forms of depression have traditionally been labeled as “circadian,” such as seasonal affective disorder (510), since many rhythms are disrupted in depressed patients and clock genes appear to be related to the

### Table 5. Human circadian mutations affecting entrainment

<table>
<thead>
<tr>
<th>Gene</th>
<th>Mutation</th>
<th>Association</th>
<th>Population</th>
<th>Reference Nos.</th>
</tr>
</thead>
<tbody>
<tr>
<td>per1</td>
<td>SNP T2434C</td>
<td>Diurnal preference</td>
<td>English</td>
<td>64</td>
</tr>
<tr>
<td>per2</td>
<td>Amino acid substitution S662G</td>
<td>FASPS</td>
<td>American</td>
<td>473</td>
</tr>
<tr>
<td>per3</td>
<td>VNTR in exon 18</td>
<td>DSPS, diurnal preference</td>
<td>Brazilian</td>
<td>384</td>
</tr>
<tr>
<td></td>
<td>Amino acid substitution G647V</td>
<td>DSPS</td>
<td>Argentine</td>
<td>64a</td>
</tr>
<tr>
<td>clock</td>
<td>SNP T3111C</td>
<td>Diurnal preference</td>
<td>Japanese</td>
<td>123</td>
</tr>
<tr>
<td></td>
<td>DSPS</td>
<td>Japanese</td>
<td>European</td>
<td>230</td>
</tr>
<tr>
<td></td>
<td>Diurnal preference</td>
<td>Japanese</td>
<td>American</td>
<td>221</td>
</tr>
<tr>
<td></td>
<td>DSPS y N-24</td>
<td>Japanese</td>
<td>Japanese</td>
<td>230</td>
</tr>
<tr>
<td></td>
<td>American</td>
<td>Japanese</td>
<td>Japanese</td>
<td>325</td>
</tr>
<tr>
<td></td>
<td>SNP T44A</td>
<td>FASPS</td>
<td>American</td>
<td>515</td>
</tr>
<tr>
<td>casein kinase I-δ</td>
<td>SNP T44A</td>
<td>Diurnal preference</td>
<td>Japanese</td>
<td>455</td>
</tr>
</tbody>
</table>

Human mutations that affect circadian rhythms and entrainment are given, including chronotypes (diurnal/nocturnal preference) and circadian-related sleep disorders. For a review and additional references, see References 265 and 266.

Physiol Rev • VOL 90 • JULY 2010 • www.prv.org
genesis of the disease, the circadian rhythm might also be implied in general depression characteristics (304, 478).

More relevant to the focus of this review, the circadian input pathway is sensitive to pathological alterations that will result in entrainment abnormalities. Although it is well established that in cases where blindness ensues the affected individual experiences poorly entrained circadian rhythms, along with sleep disturbances and depressed moods (273, 424, 450), so far, no conclusive evidence supports a cause-and-effect relationship between ophthalmic diseases and circadian-rhythm functions. Much of the studies in human chronobiology have focused on healthy aging volunteers, but little has been done to study effects of age-related anatomic and physiological changes on the photic system. Several ophthalmic factors are associated with age-related reduction in light transmission, such as senile miosis, characterized by a reduction in the pupil diameter, reducing retinal light transmission (513). Age-related reduction in light responsivity has also been demonstrated in animal research. It was shown that circadian phase responsivity to light may be 20 times greater in young than in old hamsters (533). Furthermore, older rats seem to need brighter light to achieve a desired amplitude of the activity rhythm (480, 514). Although it is not entirely known how age-related ocular changes compromise the effectiveness of ambient illumination in maintaining circadian entrainment in humans, it has been demonstrated that older adults exhibit advanced circadian phases of sleep, cortisol, and 6-sulfatoxymelatonin (aMT6s) onset (262). More research is needed to ascertain whether older individuals develop light resistance because of ocular/optic diseases. It’s also important to determine the best light stimulus for optimal circadian entrainment among adults with ophthalmic diseases. A study investigating adolescents and young adults ages between 12 and 20 years from the Missouri School for the Blind indicated that patients with optic diseases showed significantly greater circadian dysfunction (e.g., more daytime napping and variable timing of awakening), relative to those without such diseases (506). Another study investigating circadian rhythms of melatonin levels and body temperature of 15 patients with no light perception found that 9 of those patients maintained circadian synchronization, although the phase angle of entrainment was atypical (251). It is noteworthy that a study of experimental bright light treatments showed improvement of circadian rhythm functions only among patients with intact vision, but not among visually impaired patients (487).

Ophthalmic diseases that might affect photic input to the circadian system include cataract, diabetic retinopathy, macular degeneration, retinitis pigmentosa, optic nerve atrophy, and glaucoma (for a review, see Ref. 227). Cataract, an opacity of the crystalline lens, does not diminish light input significantly unless the disease is far advanced, as in densely Brunescent or Morgagnian cataracts (236). Diabetic retinopathy can cause severe visual loss or even blindness (250). Diabetic retinopathy considerably varies in severity; hence, light input to the circadian system might be affected differently based on the disease process. Certainly, an end-stage scarred retina from diabetic proliferative disease would be transmitting less light input centrally. Furthermore, a pan-retinal laser eye in the setting of diabetic disease has lost function in many discrete areas, which would also reduce light stimuli reaching the circadian system. Age-related macular degeneration is a disease process affecting various layers in the deep retina with possible scarring and loss of transmission of light stimuli (490). Retinitis pigmentosa is recognized by a progressive degeneration of the rods, leading to night blindness and loss of peripheral visual field (486). Clinical manifestations of this disease include pigment deposition in the retina and attenuation of retinal blood vessels. The finding that loss of retinal ganglion cells occurs in glaucoma (224) may yet be the most important observation concerning circadian human physiology. Glaucoma is a leading cause of blindness worldwide, characterized by specific visual field defects due to the loss of retinal ganglion cells and damage to the optic nerve head. Since the presence of melanopsin (193) and cryptochromes (463) in human ganglion cells, as well as a decrease of PLR in glaucomatous patients had been demonstrated (66), one might conjecture that individuals with severe retinal ganglion cell loss (i.e., glaucoma) would exhibit circadian rhythm desynchronization, since ganglion cell damage in glaucoma might result in melanopsin cell death (227). In this sense, a disturbance of the circadian rhythm of the autonomic nervous system was observed in patients with glaucoma (238). Although an animal study suggests that melanopsin-expressing retinal ganglion cells might be less susceptible to death after induction of chronic ocular hypertension (278), in another model of experimental glaucoma in rats induced by chronic administration of hyaluronic acid, we found a significant decrease in retinal melanopsin levels (N. De Zavalia, D. C. Fernandez, and R. E. Rosenstein, unpublished results). Moreover, a significant reduction of RGC axon terminals in the SCN, as well as a decrease in retinal melanopsin mRNA levels in an experimental model of glaucoma in rats, was recently shown (116). In addition, it was reported that glaucomatous rats require more time to readjust to a shifted LD cycle compared with normal rats (116).

It is of great interest to determine how ophthalmic diseases affect the circadian timing system. Evidently, since several ocular diseases lead to visual impairment and worse yet blindness, they indirectly provoke physical inactivity, which may cascade into sleep problems and daytime sleepiness. Likewise, afflicted individuals would have less opportunity for exposure to bright light expo-
sure, which may cause circadian rhythm dysfunctions (261, 263). In contrast to other ocular diseases, the effects of glaucoma on the circadian timing system might be twofold: 1) a direct impact through degeneration of retinal ganglion cells and/or ocular ischemia and reperfusion damage and 2) an indirect impact through social isolation due to blindness, as is the case for other ophthalmic diseases.

In summary, the study of entrainment not only leads to a better understanding of the adaptive value of the circadian clock, but also to putative alternatives for treatment of disease (including but not limited to circadian-related disease). Chronotherapy, i.e., the time-specific treatment of pathological symptoms, appears to be very relevant for therapeutics, not only in terms of chronopharmacology but also for ensuring the correct entrainment of rhythms to their environment and a synchronized internal temporal order (276). After more than two decades of circadian research (199), including peering into mechanisms of entrainment and of distributed clock systems (74), it is possible that a chronobiological understanding of health and disease is slowly, but timely, coming of age so that we can finally grasp the concept that exists “between night and day/ an undecided territory/ nor shadow nor light/ time” (383a).

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PHYSIOLOGY OF CIRCADIAN ENTRAINMENT 1095


Marchant EG, Watson NV, Mistlberger RE. Both neuropeptide Y and serotonin are necessary for entrainment of circadian rhythms


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